



PTO/SB/21 (05-03)

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/438,185
	Filing Date	November 11, 1999
	First Named Inventor	Stephens, Richard S.
	Art Unit	1645
	Examiner Name	Duffy, Patricia A.
Total Number of Pages in This Submission	Attorney Docket Number	018941-000411US

ENCLOSURES (Check all that apply)☒ Fee Transmittal Form☐ Fee Attached☒ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☒ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☒ Response to Missing Parts/
Incomplete Application☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a
Provisional Application☐ Power of Attorney, Revocation
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to Group☐ Appeal Communication to Board of Appeals
and Interferences☐ Appeal Communication to Group (Appeal
Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)
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sequence listing

Remarks

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Firm or Individual	Townsend and Townsend and Crew LLP Elizabeth R. Sampson	Reg. No. 52,190
Signature	<i>Elizabeth R. Sampson</i>	
Date	9/3/03	

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Signature	<i>Patricia Andrews</i>	Date	Sept. 3, 2003

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FEE TRANSMITTAL
for FY 2003
Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 55

Application Number 09/438,185
Filing Date November 11, 1999
First Named Inventor Stephens, Richard S.
Examiner Name Duffy, Patricia A.
Art Unit 1645
Attorney Docket No. 018941-000411US

Complete if Known

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ MoneyOrder ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number

20-1430

Deposit
Account
Name

Townsend and Townsend and Crew LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description
	1001	750		2001	375	Utility filing fee
	1002	330		2002	165	Design filing fee
	1003	520		2003	260	Plant filing fee
	1004	750		2004	375	Reissue filing fee
	1005	160		2005	80	Provisional filing fee

SUBTOTAL (1)

(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fees from below	Fee Paid
Independent Claims			
Multiple Dependent			

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description
	1202	18		2202	9	Claims in excess of 20
	1201	84		2201	42	Independent claims in excess of 3
	1203	280		2203	140	Multiple dependent claim, if not paid
	1204	84		2204	42	** Reissue independent claims over original patent
	1205	18		2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large	Entity	Small	Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
				1051	130	2051 65 Surcharge - late filing fee or oath	
				1052	50	2052 25 Surcharge - late provisional filing fee or cover sheet.	
				1053	130	1053 130 Non-English specification	
				1812	2,520	1812 2,520 For filing a request for reexamination	
				1804	920*	1804 920* Requesting publication of SIR prior to Examiner action	
				1805	1,840*	1805 1,840* Requesting publication of SIR after Examiner action	
				1251	110	2251 55 Extension for reply within first month	55
				1252	410	2252 205 Extension for reply within second month	
				1253	930	2253 465 Extension for reply within third month	
				1254	1,450	2254 725 Extension for reply within fourth month	
				1255	1,970	2255 985 Extension for reply within fifth month	
				1401	320	2401 160 Notice of Appeal	
				1402	320	2402 160 Filing a brief in support of an appeal	
				1403	280	2403 140 Request for oral hearing	
				1451	1,510	1451 1,510 Petition to institute a public use proceeding	
				1452	110	2452 55 Petition to revive - unavoidable	
				1453	1,300	2453 650 Petition to revive - unintentional	
				1501	1,300	2501 650 Utility issue fee (or reissue)	
				1502	470	2502 235 Design issue fee	
				1503	630	2503 315 Plant issue fee	
				1460	130	1460 130 Petitions to the Commissioner	
				1807	50	1807 50 Petitions related to provisional applications	
				1806	180	1806 180 Submission of Information Disclosure Stmt	
				8021	40	8021 40 Recording each patent assignment per property (times number of properties)	
				1809	750	2809 375 Filing a submission after final rejection (37 CFR § 1.129(a))	
				1810	750	2810 375 For each additional invention to be examined (37 CFR § 1.129(b))	
				1801	750	2801 375 Request for Continued Examination (RCE)	
				1802	900	1802 900 Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid SUBTOTAL (3)

(\$)55

Complete (if applicable)

SUBMITTED BY

Name (Print/Type)

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Telephone

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Signature

Elizabeth R. Sampson

Date 9/3/03

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